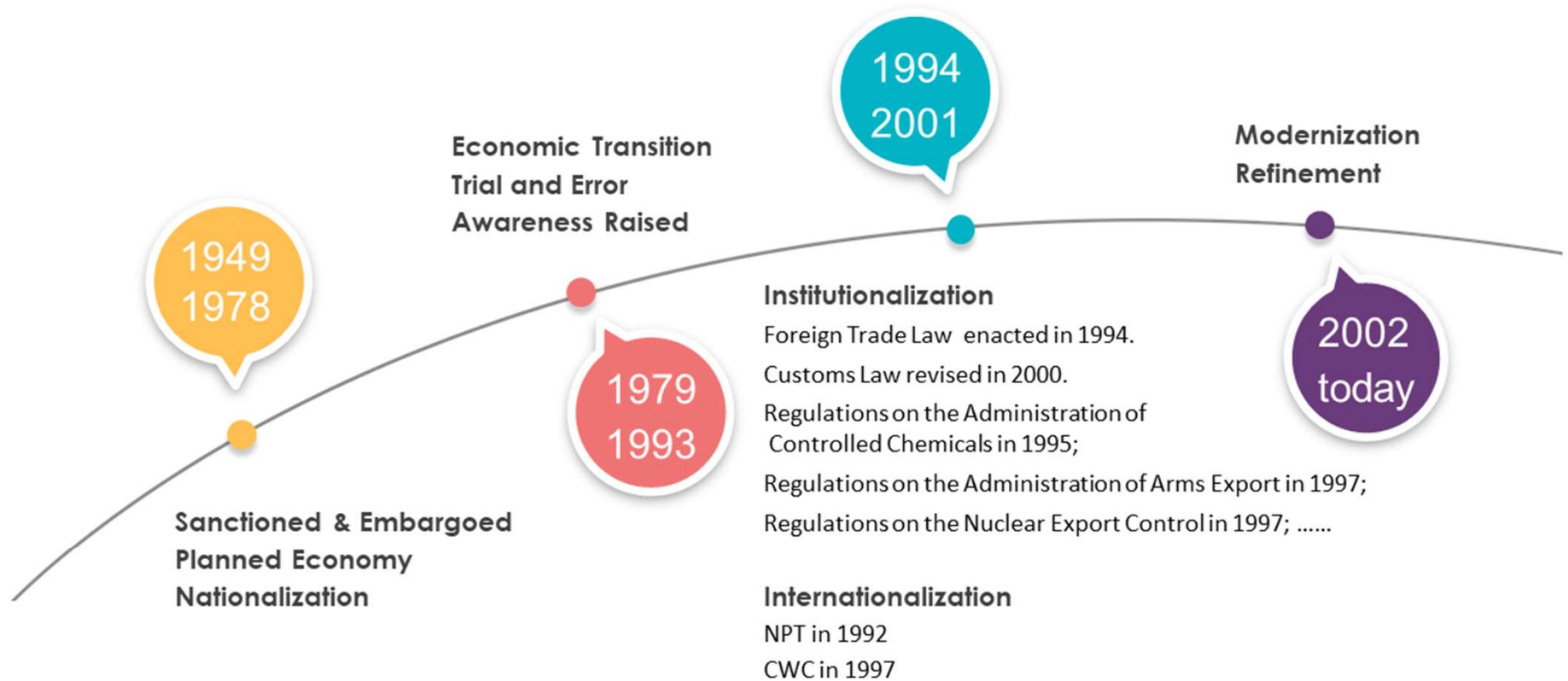




# China's Export Control System

October 14, 2019

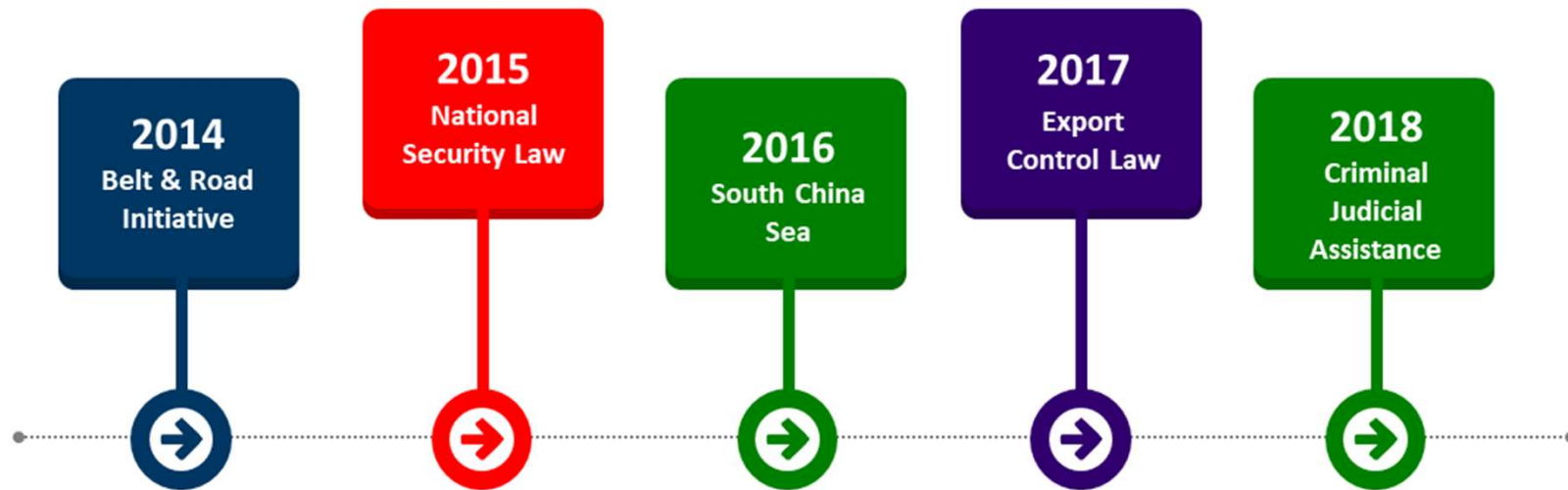
# Export Control's Evolution in China





## Latest Development

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# Export Control Dimensions

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## Licenses on Trade

License	CCC	License	CCC
01. Import License	1	11. Export Permit for Endangered Species	E
02. Import License for Dual-Use Items and Technologies	2	12. Import Permit for Endangered Species	F
03. Export License for Dual-Use Items and Technologies	3	13. Psychotropic substances import & export permit	I
04. Export License	4	14. Gold and Its Products Import/Export Permit/Approval	J
05. Used Mechanic & Electronic Products Prohibited from Import	6	15. Drugs Import & Export License	L
06. Automatic Import License	7	16. Import License for Encryption Products and Equipment containing Encryption Technology	M
07. Products Prohibited from Export	8	17. Automatic Import License (Mechanic & Electronic Products)	O
08. Products Prohibited from Import	9	18. Solid Waste Import License	P
09. Customs Clearance of Entry Goods	A	19. Origin Certificate	Y
10. Customs Clearance of Exit Goods	B	20. Import Tariff Quota Certificate	t



# Dual-Use Control Lists

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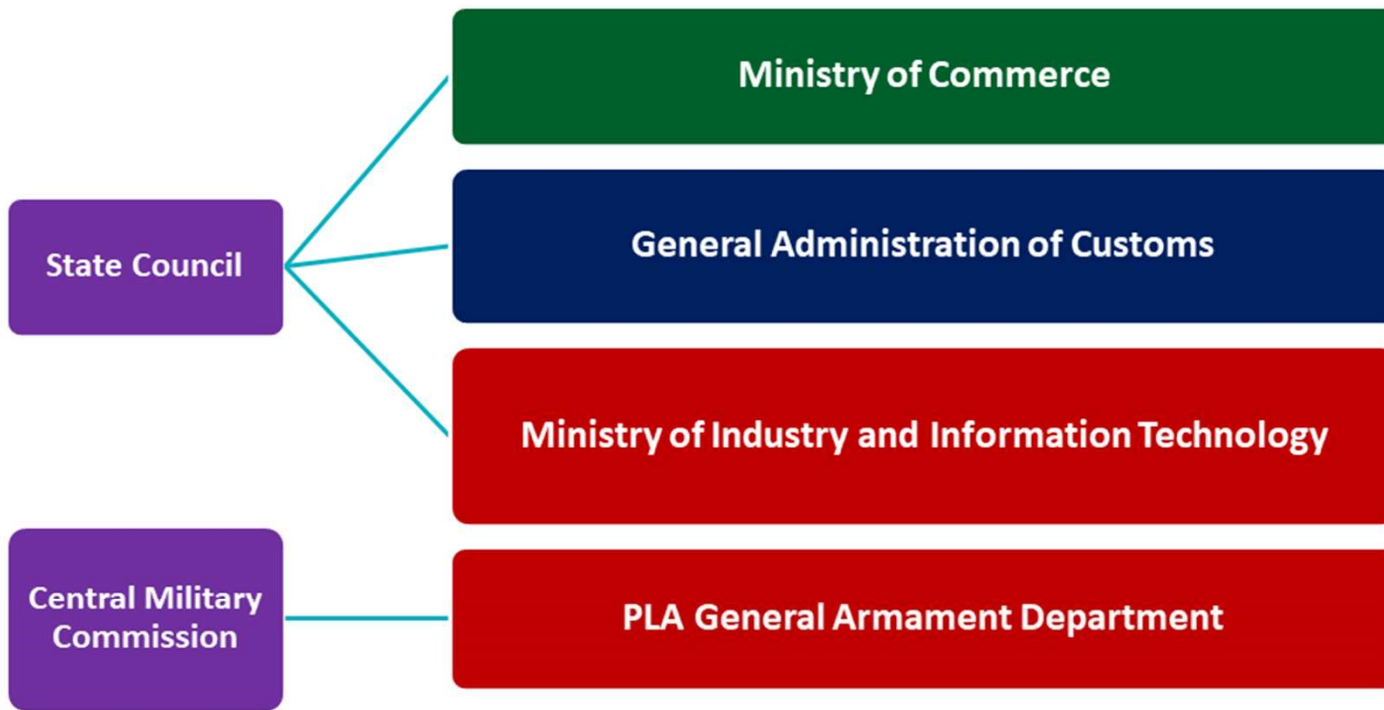
- **Import**

- 01. Controlled Chemicals;
- 02. Drugs Precursor;
- 03. Radioactive Isotope.

- **Export**

- 01. Nuclear Items and Technologies;
- 02. Nuclear Dual-use Items and Technologies;
- 03. Biological Dual-use Items, Relevant Equipment, and Technologies;
- 04. Controlled Chemicals;
- 05. Certain Chemicals, Relevant Equipment, and Technologies;
- 06. Missile, Relevant Items and Technologies;
- 07. Drugs Precursor I;
- 08. Drugs Precursor II;
- 09. Miscellaneous Dual-use Items and Technologies;
- 10. Special Civil-use Items and Technologies.

# Export Control Regulatory Bodies





# Practical Challenges

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A

## Framework & Operation

- Compliance program assessment and design
- Implementation and automation
- License application preparation and license management support
- Training

B

## Technical

- Classification
- De minimis calculation analysis
- Deemed export control reviews
- Supply chain and third-party risk management
- Trade control audits and reviews

C

## Crisis

- Compliance crisis management
- Investigations and disclosures
- Independent monitoring or related support services





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